

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Abraham I. Zeigler et al. Art Unit : Unknown
Serial No. : 09/401,875 Examiner : Unknown
Filed : September 23, 1999
Title : MONTAGE FOR AUTOMATED MARKET SYSTEM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Handwritten initials: HJ, [unclear], 8/26/03
RECEIVED
AUG 15 2003
GROUP 3600

REPLY TO ACTION OF MAY 5, 2003

In reply to the Office Action of May 5, 2003, Applicant submits the following remarks.

The examiner has made a restriction and election to withdraw claims 24-34 from consideration. The examiner has also made a restriction and election to withdraw claims 35-41 from consideration. Claims 25-41 were previously added in applicant's response mailed February 7, 2003.

Claims 1-23 and 24-34 are directed to a method of trading securities by receiving additional aggregate quotes having a size and a price and being non-attributable to any market participant. In the Office Action dated May 5, 2003, the examiner discloses that "... claims [24-34] are directed to quotes having a specific size and price and attributable to a particular market participant." Both claim 1 and 24 are directed to a method of trading securities by receiving additional aggregate quotes having a size and price and being non-attributable to any market participant. Therefore, the claims are of the same species and the restriction should be withdrawn.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

August 5, 2003
(Date of Deposit)
USA G. Gray
Signature
USA G. Gray
Typed or Printed Name of Person Signing Certificate

Applicant : Abraham I. Zeigler et al.
Serial No. : 09/401,875
Filed : September 23, 1999
Page : 2 of 2

Attorney's Docket No.: 09857-023001

Similarly, claims 35-41 are drawn to a similar invention as claim 20 and thus the restriction of those claims should be withdrawn. Claim 20 is directed to a graphical user interface for an electronic market for trading products including an aggregation window which displays additional aggregate quotes for a plurality of price levels of a product traded in the market, the aggregate quotes including quotes that are attributable to market participants and quotes that are displayable quotes but are not attributable to any market participants.

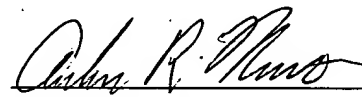
Claim 35 is directed to a graphical user interface for an electronic market for trading financial security products including an aggregate window having a first plurality of indicators that displays totals of aggregated attributable and non-attributable interest of market participants at each of a plurality of price levels of a financial security product traded in the market and a second, separate set of indicators that displays totals of the aggregated attributable and non-attributable interest that represents agency interest at each of the price levels.

Applicant does not see that these are independent and distinct inventions and thus the restriction is in error.

Please apply any other charges or credits to deposit account 06-1050.

Respectfully submitted,

Date: 8-5-03



Andrew R. Martin
Reg. No. 45,413

Fish & Richardson P.C.
225 Franklin Street
Boston, MA 02110-2804
Telephone: (617) 542-5070
Facsimile: (617) 542-8906

20704212.doc